

ADDENDUM NO. 6

Contract No. CTR#SBV-FSMIMS-ST1

Contract for Consultants' Services

Lump-Sum

<i>Project name:</i>	<i>Financial Sector Modernization and Information Management System Project</i>
<i>Project ID:</i>	<i>P088759</i>
<i>Credit No.:</i>	<i>4505-VN</i>
<i>PHRD Co-Financing Grant No.:</i>	<i>TF093085-VN</i>
<i>Title of Consulting Services:</i>	<i>Functional and Business process strengthening for SBV, CIC (ST1)</i>

Between

The State Bank of Vietnam

and

Ernst & Young Advisory Services Ltd. (Hong Kong, China)

Dated: February 10, 2015



This Addendum No. 6 is made on February 10, 2015.

BETWEEN

The State Bank of Vietnam, on the one hand (hereinafter called the "Client") and, on the other hand, **Ernst & Young Advisory Services Ltd. (Hong Kong, China)** (hereinafter called the "Consultant").

The Client and Consultant may be referred to in this Addendum No. 6 individually as "a Party" and together as "the Parties".

WHEREAS

- A. The Client and the Consultant have entered into a Contract (CTR#SBV-FSMIMS-ST#1) dated 14 January 2011 (the "Contract") for the provision of consulting services as defined in the Contract by the Consultant.
- B. The Parties now wish to enter into this Addendum No.6 to amend and supplement the Contract in the manner more particularly set forth below.

NOW, THEREFORE, in consideration of the mutual promises and covenants herein contained, the Parties agree as follows:

- 1. Save as otherwise herein provided, all the terms and conditions in or under the Contract (unless otherwise amended and/or supplemented by this Addendum No.6 and/or varied with the consent of the Parties) shall continue to be applicable and shall remain in full force and effect and shall be construed as read and enforceable as if the variations, modifications and alterations contained in the Addendum No.6 are inserted therein by way of addition or substitution as the case may be and had formed part of this Addendum No.6
- 2. The Parties agree that:
 - 2.1 Amend Article 2.3, Section III. Special Conditions of Contract is corrected to read as follows:
"This Contract will be expired on 31st December 2016"
 - 2.2 The payment schedule in Article 6.4, Section III. Special Conditions of Contract is corrected to read as follows:

6.4	<p>Payments shall be made according to the following schedule:</p> <ul style="list-style-type: none"> (a) Five (5) percent of the lump-sum amount shall be paid upon contract signature against a bank guarantee. (b) Five (5) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the inception report. (c1) Five (5) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the SBV - Functional Strengthening: Group 1.1 deliverables detailed in section 1 of Appendix B - Reporting requirements. (c2) Five (5) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the SBV - Functional Strengthening: Group 1.2 deliverables detailed in section 1 of Appendix B - Reporting requirements (d1) Three (3) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the SBV – Functional strengthening: Group 2.1 deliverables detailed in section 1 of Appendix B - Reporting requirements; (d2) Two (2) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the SBV – Functional strengthening: Group 2.2 deliverables detailed in section 1 of Appendix B - Reporting requirements; (e) Five (5) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the CIC – Component: Group 1 deliverables detailed in section 1 of Appendix B - Reporting requirements; (f) Five (5) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the SBV-ICT – Platform Development: Group 1 deliverables detailed in section 1 of Appendix B - Reporting requirements; (g) Five (5) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the SBV – Functional strengthening: Group 3 deliverables detailed in section 1 of Appendix B - Reporting requirements; (h1) Four (04) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the SBV-ICT – Platform Development: Group 2.1 deliverables detailed in section 1 of Appendix B - Reporting requirements; (h2) Four (04) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the SBV-ICT – Platform Development: Group 2.2 deliverables detailed in section 1 of Appendix B - Reporting requirements; (h3) Two (02) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the SBV-ICT – Platform Development: Group 2.3 deliverables detailed in
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	<p>section 1 of Appendix B - Reporting requirements;</p> <p>(i) Four (04) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the CIC – Component: Group 2.1 deliverables detailed in section 1 of Appendix B - Reporting requirements</p> <p>(j) Five (05) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the project mid-term report;</p> <p>(k) Ten (10) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the SBV&CIC Components: Group 1 deliverables detailed in section 1 of Appendix B - Reporting requirements;</p> <p>(l) Five (05) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the SBV & CIC Components: Group 2 deliverables detailed in section 1 of Appendix B - Reporting requirements;</p> <p>(m) Six (06) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the SBV&CIC Components: Group 3 deliverables detailed in section 1 of Appendix B - Reporting requirements;</p> <p>(n) Five (05) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the SBV&CIC Components: Group 4 deliverables detailed in section 1 of Appendix B - Reporting requirements;</p> <p>(o) Five (05) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the SBV&CIC Components: Group 5 deliverables detailed in section 1 of Appendix B - Reporting requirements;</p> <p>(p) Five (05) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the project final assessment report;</p> <p>(q) Five (5) percent of the lump-sum amount shall be paid upon approval of State Bank of Vietnam's authorized representative on the consultant assignment completion report.</p>
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2.3 Section 1 - Appendix B - Reporting requirement is corrected to read as follows:

Component	Task	Name of the deliverables	Key activities
Inception Report			<ul style="list-style-type: none"> ♦ Report on start-up activities, detailed work plan and methodologies, including a list of the study sites, an estimate of the time allocation for involved personnel and structure of the main reports ♦ Report on the Project's inherent risks and Report on the Project's inherent risks and recommendations on risk mitigation and management
SBV-Functional strengthening: Group 1.1 Deliverables	Reporting Regime	Current State Analysis Report & Skills Gap Assessment and Training Needs Report	<ul style="list-style-type: none"> ♦ Detailed stock taking of SBV's current practices
	Banking Supervision	Gap Analysis Report	<ul style="list-style-type: none"> ♦ Identify gaps with international practices; and ♦ Proposed improvements of current practices and arrangements for offsite surveillance, early warning analysis and reporting, and coordination with onsite supervision; ♦ Input to skill gap assessment
	Accounting and Financial Reporting	Current State Analysis Report	<ul style="list-style-type: none"> ♦ Conceptual framework of the new accounting regime including the new chart of accounts with comparative analysis; and recommendations on the centralized accounting model;
SBV-Functional strengthening: Group 1.2 Deliverables	Policy Functional Strengthening	Current State Report	<ul style="list-style-type: none"> ♦ This report presents the consultant's assessments of: ♦ Organization structures of the 4 relevant SBV departments; and ♦ 4 key business processes.

Component	Task	Name of the deliverables	Key activities
		Proposal for Organization Structure of 4 functional department within Policy Block	<ul style="list-style-type: none"> Define organizational structure of relevant departments, ensuring coordination, efficiency and absence of duplications with respect to statistics, analysis, research, forecast and publication activities
	Banking Supervision	Final description of the proposed IT based functions	<ul style="list-style-type: none"> Written Inputs to user needs specifications and functional requirements for the IT system components, which are Micro Analysis System, Macro Analysis System, Early Warning System, CAMELS ratings, Management System
	Business Process Re-engineering – Human Resource Management	Current State Report on Human Resources Management	<ul style="list-style-type: none"> Assessment of the existing practices and systems with recommendation on specifications of user needs and functional requirement;
	Business Process Re-engineering – Internal Audit	Current State Analysis about Internal Audit Department	<ul style="list-style-type: none"> Assessment of the existing practices and systems with recommendation on specifications of user needs and functional requirement;
	Business Process Re-engineering - Budgeting	Current State Analysis Report	<ul style="list-style-type: none"> Assessment of the existing practices and systems with recommendation on specifications of user needs and functional requirement;
SBV-Functional strengthening: Group 2.1 Deliverables	Banking Supervision	Off-site Supervision Manual	Proposed business processes
		Multi-year learning by doing training plan	<ul style="list-style-type: none"> Identify best mix of training formats. Build training framework to cover (i) CI organization;(ii) Internal CI management;(iii) early warning systems; (iv) management information; (v) International sustainability; (vi) Money laundering. Understanding bank financial statements and

Component	Task	Name of the deliverables	Key activities
			accounting; ♦ Interface of all above with on-site inspection handled through ongoing training and know how development.
	Accounting and Financial Reporting	Proposal of new business process	♦ Proposed business processes;
		Inputs to User Needs Specifications and Functional Requirements	♦ Written Inputs to user needs specifications and functional requirements;
	Business Process Re-engineering – HR	Assessment report on the existing HRMIS	♦ Comments on the existing HRMIS
	Business Process Re-engineering – Internal Audit	New Audit Policies and Procedures Report	♦ New operational policies and procedures
	Business Process Re-engineering – Document Management	Current State Analysis Report	♦ Assessment of the existing practices and systems with recommendation on specifications of user needs and functional requirement;
	Business Process Re-engineering – Budgeting	New policies and procedures report	♦ New operational policies and procedures
		Inputs to User Needs Specifications and Functional Requirements	♦ Documentation on specifications of user needs and functional requirements;
SBV-Functional strengthening: Group 2.2	Reporting Regime	Proposal for new information management framework	♦ Target Operation Model Blueprint (incl. organizational structure; high level process model; data architecture; skills & competency matrix; and roadmap for change;

Component	Task	Name of the deliverables	Key activities
Deliverables			<ul style="list-style-type: none"> Information Management Framework (incl. required meta data components; high-level data dictionary / input requirements / reporting requirements; conceptual data model and operational organization structure)
		Data Governance Policy	<ul style="list-style-type: none"> Data Governance Policy (incl. purpose & mandate; organization structure; roles & responsibilities; and procedures);
	Policy Functional Strengthening	Proposal for new business processes	<ul style="list-style-type: none"> Reports on a statistical indicator system based on an assessment of information needs; Proposed business processes
		Report on the result of assisting adoption of new business processes	<ul style="list-style-type: none"> Assist in the design and adoption of related new business processes for statistics, analysis, research, forecast and publication activities A prioritized research program covering two or three topics;
		Inputs to Skills Gap Assessment and mid-term Training Program	<ul style="list-style-type: none"> This report will be incorporated to the overall skills gap assessment and medium term training program for the implementation of the Project Proposed capacity building plan;
		Inputs to User Needs Specifications and Functional Requirements	<ul style="list-style-type: none"> Written Inputs to user needs specifications and functional requirements
	Accounting and Financial Reporting	Inputs to Skills Gap Assessment and mid-term Training Program Report	<ul style="list-style-type: none"> This report will be incorporated to the overall skills gap assessment and medium term training program for the implementation of the Project
			<ul style="list-style-type: none"> Training materials on international practices and the new accounting regime; Delivery of on-the-job/classroom based (short-term) training sessions and workshops with focus on new accounting regime

Component	Task	Name of the deliverables	Key activities
	Banking Supervision		<ul style="list-style-type: none"> ♦ Training materials on international practices and the improved offsite surveillance practices and arrangements; ♦ Delivery of the training with the focus on risk identification and early warning and other supervisory works related to CI's and branches selected from different areas in the country
	Business Process Re-engineering - IA		<ul style="list-style-type: none"> ♦ on-the-job training materials and delivery of training activities on the proposed business processes
	Business Process Re-engineering - Budgeting		<ul style="list-style-type: none"> ♦ on-the-job training materials and delivery of training activities on the proposed business processes
SBV-Functional strengthening: Group 3 Deliverables	Reporting Regime	User Needs Specifications and Business Processes Report	<ul style="list-style-type: none"> ♦ Written Inputs to user needs specifications and functional requirements
	Business Process Re-engineering – Human Resource Management	Recommendations for improvement of HR key processes	<ul style="list-style-type: none"> ♦ New operational policies and procedures (HR key processes)
		Inputs to User Needs Specifications and Functional Requirements	<ul style="list-style-type: none"> ♦ Written Inputs to user needs specifications and functional requirements;
	Training	Methodology / Toolbox includes paper-based (electronic) toolbox and on-the-job training for counterpart	<ul style="list-style-type: none"> ♦ Assess methodologies and tools currently used at SBV ♦ Develop toolbox
		Implementation training reports	<ul style="list-style-type: none"> ♦ Report 1.1: Paper-based skill gap & training needs report training plan, curricula, materials, delivery (An analytical report on SBV's needs for training on understanding and implementing changes to be introduced under the Project)

Component	Task	Name of the deliverables	Key activities
			<ul style="list-style-type: none"> ♦ Report 1.2: Paper-based training plan (2-year comprehensive training plan including training courses, training materials and training methods)
	Reporting Regime		<ul style="list-style-type: none"> ♦ Training materials on international practices, and the new reporting regime, the arrangement for capturing and utilizing market information etc; ♦ Delivery of on-the-job/classroom based (short-term) on proposed business processes
	Policy Functional Strengthening		<ul style="list-style-type: none"> ♦ Training materials on international practices and the statistic indicator framework as well as for the implementation of the capacity building plan; ♦ Delivery of on-the-job/classroom based (short-term) on proposed business processes
	Business Process Re-engineering – Internal Audit		<ul style="list-style-type: none"> ♦ On-site training at the Central bank of Malaysia (Negara Malaysia)
	Business Process Re-engineering – Document Management	Proposal for new document management process	<ul style="list-style-type: none"> ♦ New operational policies and procedures
		Inputs to User Needs Specifications and Functional Requirements	<ul style="list-style-type: none"> ♦ Documentation on specifications of user needs and functional requirements;
		Working Papers on quality control	<ul style="list-style-type: none"> ♦ Assist in quality control by reviewing outputs under the new processes and systems
			<ul style="list-style-type: none"> ♦ Training materials and delivery of training activities on the proposed business processes.
	Business Process Re-engineering – Human Resource Management		<ul style="list-style-type: none"> ♦ Training materials and delivery of training activities on the proposed business processes

Component	Task	Name of the deliverables	Key activities
CIC – Component: Group 1 Deliverables	Training		<ul style="list-style-type: none"> ♦ on-the-job training materials and delivery of training activities for HR officers ♦ Develop training design, material and deliver training for change management
		Comprehensive skill gap assessment and training needs for SBV	♦ A report describing all critical identified skills gaps as compared with central banks of economies at similar development stage and those of more developed countries
		Medium-term Staff Training Program	♦ A medium-term training program with outlines of curriculum in each main subject area, prioritization, estimated cost of the program
	CIC	A written analysis of the CIC Strategy and an Action Plan	<ul style="list-style-type: none"> ♦ analysis of the CIC Strategy and an Action Plan taking account the SBV comments on the recommendations from the JERI project ♦ Comments on the changes vis-à-vis the present situation and on the alignment with SBV's expectations on the CIC role ♦ Proposed new list of products & services corresponding to the new strategy & action plan ♦ Provision of a concise comparative analysis of the proposed future products & services for the CIC processes with some OECD benchmark countries ♦ Provision of an impact assessment of the implementation of the future new products & services on the CIC processes with the elements of a feasibility study about the future implementation
		A written report on the proposed business process re-engineering to achieve the future operating model	♦ Propose a list of adjustments/deletions with justification and functional implication to the existing business processes (and first estimate of effort to perform the change)

Component	Task	Name of the deliverables	Key activities
		and processes pointing	<ul style="list-style-type: none"> ♦ Overview of new business processes with justification and functional implication (and first estimate of effort to perform the change) ♦ List of recommended changes and adjustments to operational procedures & policies, both internally and with regard to the guidelines for CI's and other users of the CIC (and first estimate of effort to perform the change) ♦ Identification of Decrees, Decision & Circular, Letters affected by the above changes.
		Written report defining the future training needs	<ul style="list-style-type: none"> ♦ define the future training needs as a consequence of the new and modified business processes ♦ Propose the training needs submitted to the overall SBV training program based on the analysis of profiles of future trainee ♦ Develop training materials and deliver the required general & specific training to the CIC staff
		Change management plan for the business transformation comprising the domains of actions	<ul style="list-style-type: none"> ♦ Change management plan for the business transformation comprising the domains of actions ♦ Recommendation to set up (or use existing entity) a Change Management Committee and its role & responsibility ♦ A recommendation to establish a communication plan to reach all users/staff involved in the project ♦ Description of the target group for functional training for the respective training courses & the corresponding scheduling
		Document containing the recommended adjustments and additions to the legislation and to the	<ul style="list-style-type: none"> ♦ Document containing the recommended adjustments and additions to the legislation and to the respective decisions covering the CIC operations

Component	Task	Name of the deliverables	Key activities
CIC – Component: Group 2.1 Deliverables		respective decisions covering the CIC operations	<ul style="list-style-type: none"> ♦ Document containing the recommended changes to the instruction to the CI's in relation to their reporting obligations to the CIC ♦ Statement as the future legal position of the CIC in comparison with OECD benchmarks and other relevant regional PCR's
		Written report on the benefits of all functional changes for the management of credit portfolios of the CI's and for the improvement of the services of the CIC to their participating subscribers	<ul style="list-style-type: none"> ♦ Written report on the benefits of all functional changes for the management of credit portfolios of the CI's and for the improvement of the services of the CIC to their participating subscribers ♦ Documentation on the new functional specifications and their respective implementation planning ♦ Document on Use Cases as input to the ICT development and the testing activities ♦ Short quality assurance and risk monitoring report on the intermediary status of the project
	CIC	Extension of the Change management plan to cover the preparation of the ICT related activities to launch the procurement process	<ul style="list-style-type: none"> ♦ Extension of the Change management plan to cover the preparation of the ICT related activities to launch the procurement process ♦ Recommendation for adjustment to the composition of the CMC ♦ Extension of the Communication Plan to incorporate also the procurement and implementation steps of the new system(s)
		Input documents for the ICT procurement	<ul style="list-style-type: none"> ♦ Input documents for the ICT procurement based on the validated new functionalities & processes ♦ Functional specifications document on the new product, services & processes ♦ Document on environmental constraints of the site(s) of future implementation & testing of the new system(s)

Component	Task	Name of the deliverables	Key activities
			<ul style="list-style-type: none"> ♦ Document on requirements for training and documentation delivery by the supplier(s)
		Contribution to the technical documentation and to the architectural	<ul style="list-style-type: none"> ♦ Contribution to the technical documentation and to the architectural alignment between the functionality & technical layers ♦ Proposal of use cases for the ICT development and the later acceptance testing ♦ Functional requirements for the overall architectural consistency ♦ Clarification documents & notes for the ICT developers & testers during the development & testing phases
		Training material for the different levels of information dissemination on the new system	<ul style="list-style-type: none"> ♦ Training material for the different levels of information dissemination on the new system ♦ Input material into the operations manuals (to be completed with the systems' related guidance elements) ♦ Training material on the user assistance to the conformance & acceptance testing process ♦ Input material for the 1st level support to the end-users (local helpdesk function)
		Delivery of knowledge transfer training courses to CIC staff on business transformation & change management	<ul style="list-style-type: none"> ♦ Delivery of knowledge transfer training courses to CIC staff on business transformation & change management ♦ Delivery of courses to the key users of CIC to prepare their contribution to the testing process and to the 1st level help desk set-up
		Documentation based on all prior work in the CIC domain	<ul style="list-style-type: none"> ♦ Overall position & ambition of the CIC ♦ Project goals & work plan ♦ New strategy & mission ♦ Future product & services

Component	Task	Name of the deliverables	Key activities
			<ul style="list-style-type: none"> ♦ OECD comparison ♦ Impact & change proposal ♦ Procedural & legal changes ♦ Change management ♦ New functional specifications ♦ Input to the ICT Procurement ♦ Training & knowledge transfer ♦ Risk & Project assessment ♦ Future steps & opportunities
	CIC	Report on the current CIC ICT capacity	<ul style="list-style-type: none"> ♦ Detailed stocktaking of the existing ICT capacity for information management. ♦ Confirm/advise on the need for modifications to the proposed overall system architecture
	CIC	User needs and functional requirements	♦ Documentation on specifications of user needs and functional requirements
		CG1 Bidding document	♦ Bidding document
	CIC		♦ Training materials and delivery of training activities on the proposed business processes and on IT project and contract management
SBV - ICT Platform Development – Group 1 Deliverables	NHNN - CNTT	Stocktaking of ICT Capacity of SBV Report	♦ Detailed stocktaking of the existing ICT capacity for information management
		ICT Current State Application Assessment Report	<ul style="list-style-type: none"> ♦ Specify and document user needs and functional requirements for each of the proposed systems that comprise the overall integrated technical solution ♦ Confirm/advise on the need for modifications to the proposed overall system architecture
		SBV ICT Architecture Design	♦ Conceptual design of SBV ICT Architecture
SBV - ICT Platform	SBV - ICT	User need specifications and functional	♦ User need specifications and functional requirements for Central Banking Department

Component	Task	Name of the deliverables	Key activities
Development – Group 2.1 Deliverables		requirements	
		Bidding document for SG3.1 " (Core-banking, accounting, budgeting applications and System Integration from original SG2 (for SBV)"	♦ Bidding document
	SBV – ICT	Bidding document for SG1.1 "Servers, Middle wares, Database going with SG3.1 package"	♦ Bidding document
	SBV - ICT	Independent bid evaluation reports for SG3.1	♦ Independent bid evaluation reports
		Concept Papers for SG3.1	♦ Assist SBV prepare for supplier negotiations. This paper will include main issues that require particular attention with recommendations on negotiation strategies. ♦ Identify main risks/issues of contract implementation
	SBV - ICT	Independent bid evaluation reports for SG1.1	♦ Independent bid evaluation reports
	SBV - ICT		♦ Training on IT project management and contract management
SBV - ICT Platform Development – Group 2.2 Deliverables	SBV - ICT	Bidding document for SG4 "Data Management, Data Warehouse & related applications (for SBV)"	♦ Bidding document
	SBV - ICT	Bidding document for SG3.2	♦ Bidding document

Component	Task	Name of the deliverables	Key activities
		"Document Management, Internal Audit Management, HRMIS, Business Process Management (for SBV)"	
	SBV - ICT	Independent bid evaluation reports for SG3.2	♦ Independent bid evaluation reports
	SBV - ICT	Concept Papers for SG3.2	♦ Assist SBV prepare for supplier negotiations. This paper will include main issues that require particular attention with recommendations on negotiation strategies. ♦ Identify main risks/issues of contract implementation
	SBV - ICT	Independent bid evaluation reports for SG4	♦ Independent bid evaluation reports
	SBV - ICT	Concept Papers for SG4	♦ Assist SBV prepare for supplier negotiations. This paper will include main issues that require particular attention with recommendations on negotiation strategies. ♦ Identify main risks/issues of contract implementation
SBV - ICT Platform Development - Group 2.3 Deliverables	SBV - ICT	Request for Proposal document for ST3 "SBV third party verification"	♦ Request for Proposal document
		Independent Proposal evaluation reports for ST3	♦ Independent Proposal evaluation reports
		Concept Papers for ST3	♦ Assist SBV prepare for supplier negotiations. This paper will include main issues that require particular attention with recommendations on negotiation strategies.

Component	Task	Name of the deliverables	Key activities
			<ul style="list-style-type: none"> ♦ Identify main risks/issues of contract implementation
	SBV - ICT	Request for Proposal document for ST8 "Consulting service for IT standard for SBV"	<ul style="list-style-type: none"> ♦ Request for Proposal document
		Independent Proposal evaluation reports for ST8	<ul style="list-style-type: none"> ♦ Independent Proposal evaluation reports
		Concept Papers for ST8	<ul style="list-style-type: none"> ♦ Assist SBV prepare for supplier negotiations. This paper will include main issues that require particular attention with recommendations on negotiation strategies. ♦ Identify main risks/issues of contract implementation
	SBV - ICT	Request for Proposal document for ST9 "Consulting services for SBV new Data center"	<ul style="list-style-type: none"> ♦ Request for Proposal document
		Independent Proposal evaluation reports for ST9	<ul style="list-style-type: none"> ♦ Independent Proposal evaluation reports
		Concept Papers for ST9	<ul style="list-style-type: none"> ♦ Assist SBV prepare for supplier negotiations. This paper will include main issues that require particular attention with recommendations on negotiation strategies. ♦ Identify main risks/issues of contract implementation
		Mid-term report	<ul style="list-style-type: none"> ♦ Review of the project progress and status of the deliverables; ♦ Updated outline of the remaining deliverables and working plan;

Component	Task	Name of the deliverables	Key activities
			<ul style="list-style-type: none"> ♦ Recommendations on the project execution; ♦ Other project implementation support documents required by PMU;
SBV&CIC Components – Group 1 Deliverables		1 st Half year Report	<p>Scope of works:</p> <ul style="list-style-type: none"> ♦ The implementation of CG1 & SG1.1 Contracts and related operational processes as proposed in Functional strengthening component <p>Tasks to do:</p> <ul style="list-style-type: none"> ♦ <i>Operational process</i>: Compare detailed design with proposed process in SBV and CIC component; state out specific differences in terms of objectives, design principles and potential risks (if any). ♦ <i>System implementation</i>: Identify key problems/risks in the process of system implementation and project deployment; propose solution of risk mitigation.
SBV&CIC Components – Group 2 Deliverables		2 nd Half year Report	<p>Scope of works:</p> <ul style="list-style-type: none"> ♦ The implementation of CG1, SG1.1, SG3.1, SG3.2, SG4 Contracts and related operational processes as proposed in Functional strengthening component <p>Tasks to do:</p> <ul style="list-style-type: none"> ♦ <i>Operational process</i>: Compare detailed design with proposed process in SBV and CIC component; state out specific differences in terms of objectives, design principles and potential risks (if any) ♦ <i>System implementation</i>: Identify key problems/risks in the process of system implementation and project deployment; propose solution of risk mitigation.

Component	Task	Name of the deliverables	Key activities
SBV&CIC Components – Group 3 Deliverables		3 rd Half year Report	<p>Scope of works:</p> <ul style="list-style-type: none"> ♦ The implementation of CG1, SG1.1, SG3.1, SG3.2, SG4 Contracts and related operational processes as proposed in Functional strengthening component <p>Tasks to do:</p> <ul style="list-style-type: none"> ♦ <i>Operational process</i>: Compare detailed design with proposed process in SBV and CIC component; state out specific differences in terms of objectives, design principles and potential risks (if any) ♦ <i>System implementation</i>: Identify key problems/risks in the process of system implementation and project deployment; propose solution of risk mitigation.
SBV&CIC Components – Group 4 Deliverables		4 th Half year Report	<p>Scope of works:</p> <ul style="list-style-type: none"> ♦ The implementation of SG1.1, SG3.1, SG3.2, SG4 Contracts and related operational processes as proposed in Functional <i>strengthening</i> component <p>Tasks to do:</p> <ul style="list-style-type: none"> ♦ <i>Operational process</i>: Compare detailed design with proposed process in SBV and CIC component; state out specific differences in terms of objectives, design principles and potential risks (if any) ♦ <i>System implementation</i>: Identify key problems/risks in the process of system implementation and project deployment; propose solution of risk mitigation.
SBV&CIC Components – Group 5 Deliverables		5 th Half year Report	<p>Scope of works:</p> <ul style="list-style-type: none"> ♦ The implementation of SG1.1, SG3.1, SG3.2, SG4 Contracts and related operational processes as proposed in Functional strengthening component

Component	Task	Name of the deliverables	Key activities
			<p>Tasks to do:</p> <ul style="list-style-type: none"> ♦ <i>Operational process</i>: Compare detailed design with proposed process in SBV and CIC component; state out specific differences in terms of objectives, design principles and potential risks (if any) ♦ <i>System implementation</i>: Identify key problems/risks in the process of system implementation and project deployment; propose solution of risk mitigation.
		Project Final Assessment Report	<ul style="list-style-type: none"> ♦ Executive summary of project implementation and assessment of achievement towards project's objectives, including lessons learned;
		Consultant Assignment Completion report	<ul style="list-style-type: none"> ♦ Executive summary of all the deliverables under ST1 Contract, contract implementation process, and a self-evaluation of consultant's performance

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2.4 The Parties agree that the specialists listed under the Contract shall be replaced as follows:

- Mr. Tran Dinh Cuong replaces Mr. Enrique Conejero for the position of Project Manager.
- Mr. Michel Dombrecht replaces Mr. Mack Ott for the position of International Experienced Monetary policy Specialist.

3. This Addendum No. 6 shall be effective from January 1, 2015.

4. All capitalized terms in this Addendum No. 6 shall have the same meaning as set forth in the Contract, unless otherwise defined or provided to the contrary herein.

IN WITNESS WHEREOF the Parties hereto have set their hands on the day and year first above written.

This Amendment shall be made in 04 original copies with equal value. SBV shall keep 03 (three) copies and Ernst & Young shall keep 01 (one) copy.

The Consultant

**On behalf of Ernst & Young Advisory
Services Ltd. (Hongkong, China)**

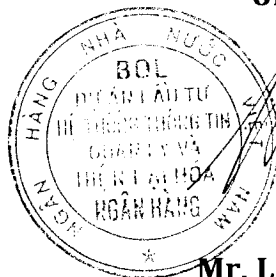
For and on behalf of
ERNST & YOUNG ADVISORY SERVICES LIMITED
安永諮詢服務有限公司

.....
Director/Authorised Signatory

Mr. Timothy Keith Pogson
Partner Financial Services

The Client

**On behalf of The State Bank
of Vietnam**



Mr. Le Manh Hung
Director of FSMIMS PMU